



# HBZ BANK LTD

(A Subsidiary of Habib Bank AG Zurich)

CRS Form SC-I

## INDIVIDUAL SELF-CERTIFICATION FORM FOR TAX PURPOSES

Date:

Account title:	
Account no.:	

Tax regulations require HBZ Bank Limited (hereinafter the 'Bank') to collect certain information about each account holder's tax residency status. This form is designed to capture the citizenship and residency for tax purposes of the person entitled to the income and assets associated with an account (the beneficial owner). Please complete, where applicable, the relevant sections below and provide any additional information as may be required. Please be advised that in certain circumstances the Bank may be required to share this information with relevant tax authorities. If you have any questions about how to complete this form, please contact your tax advisor. If you are a U.S. Person for tax purposes under US Internal Revenue Service ('IRS') regulations, you are required to additionally provide a completed, signed and dated **IRS Form W-9**.

Do not use this form if you are an entity. Instead you should complete and provide the appropriate 'Entity Self-Certification Form for tax purposes'.

### A. CLIENT IDENTIFICATION

1	<b>Account holder</b> (please complete a separate form for each account holder)				
	Name:				
	Surname:				
	Physical residential address:			Postal code:	
	Country of residence:				
	Nationality ( list all):				
Date of birth:		City/town of birth	Country of birth		
2	<b>Beneficial owner</b> (only complete if different from the account holder & complete a separate form for each beneficial owner)				
	Name:				
	Surname:				
	Physical residential address:			Postal code:	
	Country of residence:				
	Nationality ( list all):				
Date of birth:		City/town of birth	Country of birth		

**B. TAX RESIDENCY**

Please indicate all countries in which you are resident for tax purposes and the associated Tax Identification Numbers ('TIN'). Your TIN is the same as your South African income tax number.

For the purposes of taxation, I am tax resident in the following countries and my TIN /functional equivalent in each additional country is set out below or I have indicated that a TIN/functional equivalent is unavailable:

**Account Holder:**

Country 1	TIN/functional equivalent
Country 2	TIN/functional equivalent
Country 3	TIN/functional equivalent

**Beneficial owner, if applicable:**

Country 1	TIN/functional equivalent
Country 2	TIN/functional equivalent
Country 3	TIN/functional equivalent

***If a TIN/functional equivalent is unavailable, please indicate the reason here:***

**C. DECLARATIONS AND UNDERTAKINGS**

1. I understand and acknowledge that the Bank may provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Bank for tax purposes, a copy of this form and may disclose to such tax authorities or such party any additional information that the Bank may have in its possession. I further acknowledge that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which I am a resident for tax purposes pursuant to and in accordance with the relevant tax regulations (OECD CRS)<sup>1</sup>.
2. I understand and acknowledge that the Bank may provide, directly or indirectly, a copy of this form and information regarding income paid or credited to or for the benefit of the account(s) set out above to: (i) any person that has control, receipt, or custody of income to which this form relates; (ii) any person that can disburse or make

<sup>1</sup> **OECD CRS:** This term means the OECD Model Competent Authority Agreement and Common Reporting Standard, as amended from time to time.

payments of income to which this form relates; or (iii) any party authorised to audit or conduct a similar control of aforementioned persons for tax purposes.

3. I certify that I am the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner of an account held at the Bank.
4. I declare that all statements made in this Declaration are, to the best of my knowledge and belief, correct and complete.
5. I undertake to submit a new form within 30 days if any certification on this form becomes incorrect. Further, I understand and acknowledge that reporting and/or disclosure consequences may occur if I fail to comply with my obligations to submit the necessary forms and/or documentation following a change in circumstances.

**Account holder:**

SIGNED AT \_\_\_\_\_ ON THIS THE \_\_\_\_\_ DAY OF \_\_\_\_\_, 20\_\_\_\_

Signature\_\_\_\_\_

Full Name\_\_\_\_\_