



HBZ BANK LTD

(A Subsidiary of Habib Bank AG Zurich)

Fatca Form SC-P

SELF-CERTIFICATION OF "U.S. PERSON" STATUS FOR OWNERS / CONTROLLING PERSONS OF ENTITIES

Date:

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Account Title:

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Account No.:

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The Foreign Account Tax Compliance Act (FATCA) was signed into U.S. law on 18 March 2010. It is aimed at preventing U.S. taxpayers from using accounts held outside of the U.S. to evade taxes. Any financial institution that fails to comply with FATCA will face a 30% withholding tax on a wide range of U.S. sourced payments to its clients.

Under U.S. federal tax law, HBZ Bank Limited ("the Bank") is required to request certain taxpayer information from Owners/Controlling Persons (whether they are U.S. taxpayers or not). Information collected will be used solely to fulfil the Bank's requirements under FATCA and will not be used for other purpose.

A. OWNER / CONTROLLING PERSON *

First name(s):			
Surname:			
Residential address:			
		Postal code	
Date of birth:			
U.S. Taxpayer Identification Number (if any):			
Country of residence:			
Country of birth:			
Nationality:**			

(Hereinafter referred to as the Undersigned)

* A Controlling Person is a natural person who exercises control over an entity. An Owner is a person that has direct or indirect ownership, equity, debt interest or other financial interest in an entity

** List all nationalities, if more than one.

B. 'NON-U.S. PERSON' OR 'U.S. PERSON'

The Undersigned hereby makes and confirms the following declarations to the Bank:

B.1 Declaration of Status (individuals)

With regard to the above relationship, please check the appropriate box:

Are you a U.S. citizen? (sole or dual citizenship)	Yes	No
Are you a 'U.S. resident alien'? (lawful permanent resident, e.g. 'green card' holder, or anyone who meets the 'substantial physical presence' test)*	Yes	No
Were you born in the United States or a U.S. territory? ** If you were born in the United States or a U.S. territory but nonetheless believe that you are not a 'U.S. Person' under U.S. tax principles, please submit a copy of your Certificate of Loss of Nationality, together with a W-8BEN form and a copy of your non-US passport.	Yes	No
Are you a 'US Person' under U.S. tax principles for any other reason? (for example dual residency, spouse filing jointly, long term permanent residency in the U.S., other). If yes, please specify:	Yes	No

B.2 Required documentation

If any of the questions above is answered with yes, the Bank will request you to immediately complete the IRS **W-9 Form** confirming your US Tax status. The Undersigned hereby consents that in such a case, relevant account and / or personal information is released to the US Tax authorities.

B.3 Change of Circumstances in Status as Non-U.S. Person

For the duration of the contractual relationship with the Bank, the Undersigned undertakes to notify the Bank, at his / her own initiative and within 30 days, if his / her status as a Non-U.S. Person changes to the status of a U.S. Person under U.S. tax principles.

APPLICANT:

Signed at _____ on this _____ day of _____

Full Name

Signature

* physical presence in the United States of at least 31 days during the current year, and 183 days during the 3 year period that includes the current year and the 2 years immediately before. For details in terms of how to count days for these purposes, please consult the IRS website: <http://www.irs.gov/taxtopics/tc851.html>

** In terms of FATCA, a "U.S. Territory" means American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico or the U.S. Virgin Islands.